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•Practice Limited to  
Federal Agencies

November 26, 2002

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Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

NOV 29 2002

**Art Unit 1651**

**TECH CENTER 1600/2900**

Re: U.S. Utility Patent Application  
Appl. No. 09/028,514; Filed: February 23, 1998  
For: **Serum-Free Mammalian Cell Culture Medium, and Uses Thereof**  
Inventors: Gorfien, *et al.*  
Our Ref: 0942.4110002/RWE/B-C

Sir:

Transmitted herewith for appropriate action are the following documents:

1. PTO Fee Transmittal (Form PTO/SB/17);
2. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
3. Reply Under 37 C.F.R. § 1.111;
4. Fourth Supplemental Information Disclosure Statement;
5. One (1) page of Form PTO-1449 citing three (3) references;
6. A copy of the three (3) cited references;
7. Our check no. 37461 in the amount of \$110.00 for a one (1) month extension of time fee; and
8. One (1) return postcard.

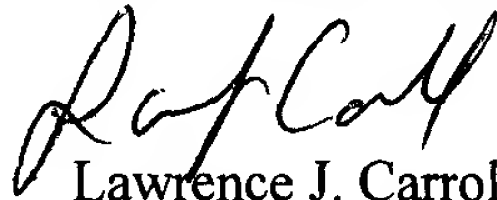
Commissioner for Patents  
November 26, 2002  
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Lawrence J. Carroll, Ph.D.

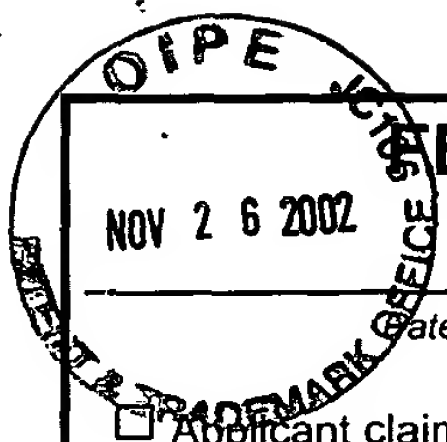
Agent for Applicants

Registration No. 40,940

RWE/B-C:law  
Enclosures

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to



# **FREE TRANSMITTAL** **for FY 2003**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 110.00

## **Complete if Known**

**Application Number** 09/028,514

**Filing Date** February 23, 1998

**First Named Inventor** Stephen F. Gorfien

**Examiner Name** Ware, Deborah K.

**Group Art Unit** 1651

**Attorney Docket No.**

0942.4110002/RWE/B-C

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**NOV 29 2002**
**TECH CENTER 1600/2800**

### **METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit card ☐ Money Order ☐ Other\*\* ☐ None

☐ Deposit Account

Deposit Account Number 19-0036

Deposit Account Name: Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.

**The Commissioner is authorized to: (check all that apply)**
☒ Charge fee(s) indicated below ☒ Credit any over payments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

\*\* Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

### **FEE CALCULATION**

#### **1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1105	160	2005	80	Provisional filing fee	

**SUBTOTAL (1) (\$)** -0-

#### **2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	- 20** =	x	=
Claims	- 3** =	x	=
Multiple			
Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** -0-

\*\*or number previously paid, if greater; For Reissue, see above

### **SUBMITTED BY**

**Name (Print/Type)**

Lawrence J. Carroll, Ph.D.

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(Attorney/Agent)

40,940

Complete (if applicable)

**Telephone**

202-371-2600

**Signature**
**Date**

November 26, 2002

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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